

# Intellectual Property Prospector

## IP Assets Owned by Firms in Transition

October 23, 2006  
Volume 1, Number 4  
Prospector Profiles in this Issue

Company Name	Reference Number	Category Profile
Accellent, Incorporated	<a href="#"><u>06.0057</u></a>	Low Rating
Alliance Pharmaceutical Corp.	<a href="#"><u>06.0058</u></a>	Audit Concerns
Amkor Technology, Inc.	<a href="#"><u>06.0059</u></a>	Default
Bally Total Fitness Holding Corp.	<a href="#"><u>06.0060</u></a>	Loss/Deficit
Blockbuster, Incorporated	<a href="#"><u>06.0061</u></a>	Low Rating
Buffets Holdings, Inc.	<a href="#"><u>06.0062</u></a>	Low Rating
CasaBlanca Resorts	<a href="#"><u>06.0063</u></a>	Low Rating
Greystone Logistics, Inc.	<a href="#"><u>06.0064</u></a>	Loss/Deficit
Kronos Advanced Technologies, Inc.	<a href="#"><u>06.0065</u></a>	Audit Concerns
Made2Manage Systems, Inc.	<a href="#"><u>06.0066</u></a>	Low Rating
MedicalCV, Inc.	<a href="#"><u>06.0067</u></a>	Loss/Deficit
Pizza Inn, Incorporated	<a href="#"><u>06.0068</u></a>	Loss/Deficit
Ply Gem Holdings, Inc.	<a href="#"><u>06.0069</u></a>	Low Rating
Protection One Alarm Monitoring, Inc.	<a href="#"><u>06.0070</u></a>	Loss/Deficit
Serena Software, Inc.	<a href="#"><u>06.0071</u></a>	Low Rating
Spectrum Brands, Inc.	<a href="#"><u>06.0072</u></a>	Low Rating
SS&C Technologies, Inc.	<a href="#"><u>06.0073</u></a>	Low Rating
UGS Corporation	<a href="#"><u>06.0074</u></a>	Low Rating
US LEC Corporation	<a href="#"><u>06.0075</u></a>	Loss/Deficit
Veridicom International, Inc.	<a href="#"><u>06.0076</u></a>	Loss/Deficit
Wherify Wireless, Inc.	<a href="#"><u>06.0077</u></a>	Audit Concerns
Zila, Incorporated	<a href="#"><u>06.0078</u></a>	Loss/Deficit

(Click on Reference Number to go directly to Company Profile)

**Intellectual Property Prospector** identifies United States and Canadian companies with more than \$1 million in assets filing for bankruptcy or reporting other financial difficulty and profiles their ownership of intellectual property. The Prospector features companies that meet strictly defined, predetermined criteria and is designed to support the efforts of firms and individuals interested in identifying opportunities in the specific area of intellectual property, which includes patents, trademarks, trade secrets, and licenses, among others.

Information is compiled weekly and the Prospector is distributed by email every Sunday evening to arrive before 9:00AM every Monday. The Prospector is published by Beard Group, Inc. (<http://BeardGroup.com>). For subscription information call Customer Service at 240-629-3300, ext. 27.

### **Prospector Profile Selection Criteria:**

In order to appear in the **Intellectual Property Prospector**, a company must report ownership of intellectual property assets, as well as one of the conditions listed below:

- An event which indicates financial distress; e.g., default, distressed exchange offer, preferred dividend omission, debt at deep discount, restructuring, low rating, audit concerns, covenant problems, and loss/deficit.
- Chapter 11, 7, or 15 bankruptcy filing
- Section 363 Sales

**DISCLAIMER:** The conditions for inclusion in the Prospector are selected by the editors, because, in their opinion, the occurrence of such an event or the existence of such a circumstance is a likely indicator of current or prospective financial or operating difficulty. There are, however, other reasons why such facts or circumstances may exist. The inclusion of a profile suggests the possibility of financial distress or the possibility that the company may be of interest to firms and individuals interested in identifying intellectual property for some other reason. *Inclusion should not be construed to represent analysis of the condition of the company or its intellectual property or a definitive determination that the company is in difficulty.*

**ACCURACY & COVERAGE:** The information contained herein is obtained from sources believed to be reliable. However, the accuracy of most data cannot be verified prior to publication, and the information is not guaranteed. Desired information is often incomplete, inaccurate, delayed or unavailable. Do not rely on the Prospector without independent verification.

**SUBSCRIPTIONS:** Subscription rate: \$575 for six months, payable in advance. All subscriptions entered are continued until canceled. For subscription information call Customer Service at (240) 629-3300, ext. 27.

**Intellectual Property Prospector** is a publication of **Beard Group, Inc.**, P. O. Box 4250, Frederick, MD 21705, (240) 629-3300, <http://www.beardgroup.com>. ISSN #to be determined. Copyright 2006. All rights reserved. Publisher: Christopher Beard.

**Prospector  
Profile  
06.0057**

**Accellent, Incorporated**

100 Fordham Rd.  
Wilmington, MA 01887  
(978) 570-6900

NAICS		339110
Employees		3,815
Revenue	(mil)	\$461.15
Income	(mil)	\$104.82
Assets	(mil)	\$1,408.45
Liability	(mil)	\$789.65
(for the year ended 12/31/2005)		

**Category:** Low Rating

**Event:** Moody's Investors Service held its probability-of-default ratings on Accellent, Inc.'s senior subordinated notes at Caa1 and assigned loss-given-default rating of LGD5, suggesting that note holders will experience a net loss of 83 percent in the event of default.

**Intellectual Property:** Accellent, Inc. continues to develop intellectual property primarily in the areas of process engineering and materials development for the purpose of internal proprietary utilization. Intellectual property enhances the Company's production capabilities and improve margins in manufacturing processes while providing a competitive differentiator. Examples of technologies developed include improvements in micro profile grinding, polymer micro tube manufacturing, metal injection molding, and surface enhancement methods for surgical implants. The Company also continues to develop intellectual property for the purpose of licensing certain technologies to medical device customers. Use of these technologies by medical device customers in their finished design, component or material solution results in additional royalty revenues. Examples of licensed technologies include improvements to catheter based applications, gastrointestinal surgical devices, and vascular stents. [SEC Filing 10-K 03-30-06]

**Description:** Accellent, Inc. is a provider of outsourced manufacturing and engineering Services in the medical device industry. It offers design and engineering, component Manufacturing, device assembly and supply chain management services.

**Officers:** Ron Sparks (Pres., CEO & Dir.); Stewart A. Fisher (EVP, Treas., Sec., CFO & Dir.); Daniel C. Croteau (EVP); Gary D. Curtis (EVP); Daniel B. DeSantis (EVP); Jeffrey M. Farina (EVP & CTO); John Konsin (EVP)

**Auditor:** Deloitte & Touche LLP

**Securities:** 1,000 common shares outstanding as of August 3, 2006.  
10.5% senior subordinated notes due December 1, 2013.

**Notes:** The Company is privately held and there is no established trading market for its common stock.

[Return to top](#)

**Prospector  
Profile  
06.0058**

**Alliance Pharmaceutical Corp.**

4660 La Jolla Village Drive  
San Diego, CA 92122  
(858) 410-5200

NAICS		541710
Employees		2
Revenue	(mil)	\$0.13
Income	(mil)	(\$9.58)
Assets	(mil)	\$3.79
Liability	(mil)	\$14.52
(for the year ended 6/30/2006)		

**Category:** Audit Concerns

**Event:** Corbin & Company LLP expressed substantial doubt about Alliance Pharmaceutical Corp.'s ability to continue as a going concern after auditing the Company's financial statements for the year ended June 30, 2006. The auditing firm pointed to the insufficiency of working capital to fund operations through the fiscal year ending June 30, 2007. [SEC Filing 10-KSB 09-28-06]

**Intellectual Property:** Patents and other proprietary rights are an essential part of Alliance Pharmaceutical Corp.'s business. The Company seeks proprietary protection for products, processes, technologies and ongoing improvements. The Company continues to pursue patent protection in the U.S. and in foreign countries that are important for future endeavors. The Company has filed numerous patent applications in the U.S., European Patent Office, Japan, Canada, Australia, Israel, Norway, South Africa, China and other countries, and have been issued patents in many of these countries. The Company currently holds 110 issued patents and 8 patent applications worldwide. Of the issued patents, 61 relate to PFC emulsions and their manufacture and methods of using blood substitutes to facilitate oxygen delivery and 13 more are pending. These PFC-related patents expire at various times between 2007 and 2014. [SEC Filing 10-KSB 09-28-06]

**Description:** Alliance Pharmaceutical Corp. is a research and development company focused on transforming innovative scientific discoveries into novel therapeutic and diagnostic agents.

**Officers:** Duane Roth (Chair & CEO); B. Jack DeFranco (Pres. & COO); Edward Hall (CFO); Theodore D. Roth (Dir.); Pedro Cuatrecasas, M.D. (Dir.); Carroll O. Johnson (Dir.); Stephen M. McGrath (Dir.); Jean Riess, Ph.D. (Dir.)

**Auditor:** Corbin & Company LLP

**Securities:** 38,464,538 common shares outstanding as of September 5, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0059**

**Amkor Technology, Inc.**

1900 South Price Rd.  
Chandler, AZ 85248  
(480) 821-5000

NAICS		334413
Employees		24,000
Revenue	(mil)	\$2,099.95
Income	(mil)	(\$136.89)
Assets	(mil)	\$2,955.09
Liability	(mil)	\$2,726.84
(for the year ended 12/31/2005)		

**Category:** Default

**Event:** On October 6, 2006, Amkor Technology, Inc. warned that it will file Chapter 11 bankruptcy protection if bond holders try to force the Company to pay off early on outstanding debt due to the Company's failure to file recent quarterly reports in a timely manner. The Company has yet to file its report for the quarter ended June 30 with the SEC. The Company has said it will delay the filing of this report with the SEC until it has concluded an internal company investigation into historical stock options granting practices. The Company has been negotiating with holders of some \$1.5 billion of debt, seeking a waiver of default for failing to make the SEC filings.

**Intellectual Property:** Amkor Technology, Inc. maintains an active program to protect its investment in technology by augmenting and enforcing intellectual property rights. Intellectual property rights that apply to various products and services include patents, copyrights, trade secrets and trademarks. The Company has filed and obtained a number of patents in the U.S. and abroad, the duration of which varies depending on the jurisdiction in which the patent is filed. The Company expects to continue to file patent applications when appropriate to protect proprietary technologies. To distinguish its products from competitors, the Company has obtained certain trademarks and service marks. [SEC Filing 10-K 03-16-06]

**Description:** Amkor Technology, Inc. is a subcontractor of semiconductor packaging and test services. The Company provides customers with an array of packaging alternatives.

**Officers:** James J. Kim (Chair & CEO); Kenneth T. Joyce (EVP & CFO); Oleg Khaykin (EVP & COO); Roger A. Carolin (Dir.); Winston J. Churchill (Dir.); Gregory K. Hinckley (Dir.); John T. Kim (Dir.); Constantine N. Papadakis (Dir.); James W. Zug (Dir.)

**Auditor:** PricewaterhouseCoopers LLP

**Securities:** Common Stock-Symbol AMKR; NasdaqGS;  
178,109,034 common shares outstanding as of July 31, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0060**

**Bally Total Fitness Holding Corp.**

8700 West Bryn Mawr Ave.  
Chicago, IL 60631  
(773) 380-3000

NAICS		713940
Employees		21,600
Revenue	(mil)	\$1,071.03
Income	(mil)	(\$9.61)
Assets	(mil)	\$480.09
Liability	(mil)	\$1,943.78
(for the year ended 12/31/2005)		

**Category:** Loss/Deficit

**Event:** On September 11, 2006, Bally Total Fitness Holding Corp. reported that its net revenues for the current quarter of \$254,631,000 decreased from \$259,617,000 for the second quarter of 2005. The Company incurred a net loss of \$733,000 for the second quarter of 2006 compared with a net income of \$1,607,000 in the same quarter of 2005. As of June 30, 2006, the Company's balance sheet showed a \$1,410,293,000 stockholder's deficit and strained liquidity with \$46,964,000 in total current assets available to pay \$611,627,000 in total current liabilities.

**Intellectual Property:** The majority of Bally Total Fitness Holding Corp.'s fitness centers use the service mark "Bally Total Fitness®." Other facilities operate under the names "Bally Sports Clubssm" and the "The Sports Clubs of Canada®". The Company operated facilities under the "Crunch Fitnesssm" brand and the "Gorilla Sportssm" brand until January 20, 2006, when it completed the sale of the Crunch Fitness business, including the Crunch Fitness and Gorilla Sports brands. The Company operated several fitness centers under the "Pinnacle Fitness®" brand until May 1, 2006, when it converted those clubs to "Bally" clubs. The use of trademarks and service marks enhances brand identity and increases advertising efficiencies. [SEC Filing 10-K 06-27-06]

**Description:** Bally Total Fitness Holding Corp. is a commercial operator of fitness centers in North America.

**Officers:** Don R. Kornstein (Chair); Julie Adams (SVP); Marc D. Bassewitz (SVP & Gen. Counsel); William G. Fanelli (SVP); Gail J. Holmberg (SVP & Chief Info. Officer); Thomas S. Massimino (SVP); James A. McDonald (SVP & Chief Mktg. Officer); John H. Wildman (SVP & COO); Harold Morgan (SVP & Chief Admin. Officer); Ronald G. Eidell (SVP & CFO); Barry R. Elson (Interim CEO & Dir.)

**Auditor:** KPMG LLP

**Securities:** Common Stock-Symbol BFT; NYSE;  
41,315,263 common shares outstanding as of July 31, 2006.  
10 1/2% senior notes due 2011.

[Return to top](#)

**Prospector  
Profile  
06.0061**

**Blockbuster, Incorporated**

1201 Elm St.  
Dallas, TX 75270  
(214) 854-3000

NAICS		532230
Employees		72,600
Revenue	(mil)	\$5,864.40
Income	(mil)	(\$588.10)
Assets	(mil)	\$3,179.60
Liability	(mil)	\$2,548.00
(for the year ended 12/31/2005)		

**Category:** Low Rating

**Event:** On September 27, 2006, Moody's Investors Service revised its rating on Blockbuster, Incorporated's \$300 million 9% senior subordinated notes from Caa3 to Caa2. The notes were assigned a loss-given-default rating of LGD5, suggesting that note holders will experience a net loss of 86 percent in the event of default.

**Intellectual Property:** Blockbuster, Inc. owns various existing trademark registrations and have trademark applications pending registration with respect to its services and products offered worldwide. In addition, the Company owns the domain name registration for "blockbuster.com" and various "blockbuster" top level and country domain names, and a wide variety of other domain names registrations worldwide. [SEC Filing 10-K 03-15-06]

**Description:** Blockbuster, Incorporated is a global provider of in-home rental and retail movie and game entertainment, with approximately 8,900 stores in the United States, its territories and 27 countries.

**Officers:** John F. Antioco (Chair & CEO); Larry J. Zine (EVP, CFO & Chief Admin. Officer); Edward Bleier (Dir.); Robert A. Bowman (Dir.); Jackie M. Clegg (Dir.); Gary J. Fernandes (Dir.); Strauss Zelnick (Dir.); Jules Haimovitz (Dir.)

**Auditor:** PricewaterhouseCoopers LLP

**Securities:** Common Stock-Symbol BBI; NYSE;  
190,753,396 common shares outstanding as of August 4, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0062**

**Buffets Holdings, Inc.**

1460 Buffet Way  
Eagan, MN 55121  
(651) 994-8608

NAICS		722211
Employees		21,000
Revenue	(mil)	\$963.16
Income	(mil)	(\$4.77)
Assets	(mil)	\$538.50
Liability	(mil)	\$621.65
(for the year ended 6/28/2006)		

**Category:** Low Rating

**Event:** On October 6, 2006, Moody's Investors Service confirmed its Caa1 rating on Buffets Holdings, Inc.'s \$330 million senior unsecured notes. The rating outlook is stable.

**Intellectual Property:** Buffets Holdings, Inc. believes that its trademarks and other proprietary rights are important to its success and its competitive position. Accordingly, the Company devotes substantial resources to the establishment and protection of its trademarks and proprietary rights. However, the actions taken by the Company may be inadequate to prevent imitation of its brands, proprietary rights and concepts by others, which may thereby dilute its brands in the marketplace or diminish the value of such proprietary rights, or to prevent others from claiming violations of their trademarks and proprietary rights by the Company. In addition, others may assert rights in its trademarks and other proprietary rights. Exclusive rights to its trademarks are subject to the common law rights of any other person who began using the trademark prior to both the date of the Company's registration and its first use of such trademarks in the relevant territory. For example, because of the common law rights of such a preexisting restaurant in portions of Colorado and Wyoming, the Company's restaurants in those states use the name "Country Buffet." [SEC Filing 09-20-06]

**Description:** Buffets Holdings, Inc. operates buffet restaurants mostly under the Old Country Buffet and HomeTown Buffet brands.

**Officers:** Frederick J. Iseman (Chair); Roe H. Hatlen (Vice Chair); R. Michael Andrews, Jr. (CEO); Mario O. Lee (EVP); A. Keith Wall (EVP& CFO); Karlin A. Linhardt (EVP); Fred P. Williams (EVP); H. Thomas Mitchell (EVP, Gen. Counsel & Sec.); Jane L. Binzak (EVP); Linda J. Allison (EVP); Ankur A. Vora (Dir.); Steven M. Lefkowitz (Dir.); Robert A. Ferris (Dir.); David S. Lobel (Dir.); Robert M. Rosenberg (Dir.)

**Auditor:** Deloitte & Touche LLP

**Securities:** 3,104,510 common shares outstanding as of September 20, 2006.  
11.25% senior subordinated notes due July 15, 2010.

[Return to top](#)



***Prospector  
Profile  
06.0063***

**CasaBlanca Resorts**

897 West Mesquite Blvd.  
Mesquite, NV 89027  
(702) 346-4000

NAICS		721120
Employees		2,600
Revenue	(mil)	\$137.90
Income	(mil)	(\$9.01)
Assets	(mil)	\$181.35
Liability	(mil)	\$213.86
(for the year ended 12/31/2005)		

**Category:** Low Rating

**Event:** Moody's revised its rating on CasaBlanca Resorts's 12-3/4% senior subordinated notes due 2013 from Caa3 to Caa2 and assigned loss-given-default rating of LGD5 to the notes, suggesting that note holders will experience a net loss of 89 percent in the event of default.

**Intellectual Property:** CasaBlanca Resorts' trademarks totaled \$3.1 million at December 31, 2005. [SEC Filing 10-K 04-17-06]

**Description:** CasaBlanca Resorts is the indirect and operating subsidiary of Virgin River Casino Corp.

**Officers:** Robert R. Black, Sr. (Chair, Pres. & CEO); Curt Mayer (CFO); Jonathan Lowenhar (COO)

**Auditor:** Ernst & Young LLP

**Securities:** 9% senior secured notes due January 15, 2009;  
12 3/4 % senior subordinated notes due January 15, 2013.

[Return to top](#)

**Prospector  
Profile  
06.0064**

**Greystone Logistics, Inc.**

1613 East 15th Street  
Tulsa, OK 74120  
(918) 583-7441

NAICS		321920
Employees		75
Revenue	(mil)	\$15.96
Income	(mil)	(\$2.34)
Assets	(mil)	\$9.66
Liability	(mil)	\$16.73

(for the year ended 5/31/2006)

**Category:** Loss/Deficit

**Event:** Greystone Logistics, Inc.'s balance sheet reported a \$7,553,326 total stockholders' deficiency resulting from total assets of \$9,320,220 and total liabilities \$16,873,546. The company's August 31 balance sheet also reported strained liquidity with \$1,250,608 in total current assets and \$6,097,612 in total current liabilities. For the three months ended August 31, 2006, the company incurred a \$401,378 net loss on \$3,881,134 of sales, compared with a \$830,839 net loss on \$2,909,104 of sales for the three months ended August 31, 2005.

**Intellectual Property:** Greystone Logistics, Inc. seeks to protect its technical advances by pursuing national and international patent protection for its products and methods when appropriate. As of May 31, 2006, Greystone's subsidiary, PPP, held these patents that are material to its business: Materials Handling Plastic Pallet, and Multiple Mold Workstation with Single Injection Feeder and Hydraulic Pumping Station. The first patent is for a new concept in the construction of materials handling plastic pallets. The second patent is for a new concept in the construction of more compact plastic injection molding machines. Greystone also recently filed for patent protection for its PIPER 600 Multi-Station Injection Molding Equipment. In addition, Greystone uses a patent-pending CJ2(TM) fire retardant formula licensed from Westgate Capital Company, LLC, in connection with the production of Greystone's plastic pallets. (SEC Filing 10-KSB 09-13-06)

**Description:** Greystone Logistics, Inc., through its subsidiaries, engages in the manufacture and sale of recycled plastic pallets in the United States.

**Officers:** Robert H. Nelson (CFO); Marshall S. Cogan (Dir.); Warren F. Kruger (Dir.); Robert B. Rosene, Jr. (Dir.)

**Auditor:** Murrell Hall McIntosh & Company PLLP

**Securities:** Common Stock-Symbol GLGI.OB; OTC BB;  
24,061,201 common shares outstanding as of August 21, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0065**

**Kronos Advanced Technologies, Inc.**

464 Common Street, Suite 301  
Belmont, MA 02478  
(617) 993-9965

NAICS		335211
Employees		12
Revenue	(mil)	\$0.22
Income	(mil)	(\$4.00)
Assets	(mil)	\$2.66
Liability	(mil)	\$5.80
(for the year ended 6/30/2006)		

**Category:** Audit Concerns

**Event:** Sherb & Co., LLP, expressed substantial doubt about Kronos Advanced Technologies, Inc.'s ability to continue as a going concern after auditing the Company's financial statements for the fiscal years ended June 30, 2006 and 2005. The auditing firm pointed to the Company's significant losses and working capital deficiency at June 30, 2006. [SEC Filing 10-KSB 09-28-06]

**Intellectual Property:** Kronos Advanced Technologies, Inc. has received notification that 11 of its patent applications have been allowed for issuance in the United States and two of its international patent applications have been allowed for issuance Australia and Mexico. These patents are considered utility patents which describe fundamental innovations in the generation, management and control of electrostatic fluids, including air movement, filtration and purification. Each of the patents contain multiple part claims for both general principles as well as specific designs for incorporating the Kronos technology into air movement, filtration and purification products. The patents provide protection for both specific product implementations of the Kronos technology, as well as more general processes for applying the unique attributes and performance characteristics of the technology. [SEC Filing 10-KSB 09-28-06]

**Description:** Kronos Advanced Technologies, Inc., an application development and licensing company, through its subsidiaries, engages in the development, marketing, and sale of proprietary air movement and purification technology in the United States.

**Officers:** Daniel R. Dwight (Pres., CEO & Dir.); Richard F. Tusing (COO & Dir.); James P. McDermott (Dir.); M. J. Segal (Dir.)

**Auditor:** Sherb & Company LLP

**Securities:** Common Stock-Symbol KNOS.OB; OTC BB;  
161,543,898 common shares outstanding as of September 26, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0066**

**Made2Manage Systems, Inc.**

9002 Purdue Rd.  
Indianapolis, IN 46268  
(317) 532-7000

NAICS		334611
Employees		211
Revenue	(mil)	\$30.22
Income	(mil)	(\$0.69)
Assets	(mil)	\$25.13
Liability	(mil)	\$13.19
(for the year ended 12/31/2002)		

**Category:** Low Rating

**Event:** On September 25, 2006, Moody's Investors Service revised its ratings on Made2Manage Systems, Inc.'s \$65 million senior secured second lien notes from Caa1 to Caa2 assigned loss given-default rating of LGD5, suggesting that note holders will experience a net loss of 81 percent in the event of default.

**Intellectual Property:** Made2Manage Systems, Inc. regards software products as proprietary in that title to and ownership of its software resides exclusively with Made2Manage Systems. The Company licenses software via a Certificate of License, which grants the user a perpetual license to use the Made2Manage Enterprise Business System. The Company relies largely on license agreements with customers, dealer agreements with suppliers, software protection tools, confidentiality agreements and employee agreements to maintain the trade secret aspects of its products. The Company seeks to protect programs, documentation and other written materials under copyright law. The Company has a United States patent for software related to Materials Requirements Planning regeneration and patent applications pending for software included in the Made2Manage Enterprise Business System related to a navigational interface and an e-commerce hosting approach for the enterprise. [SEC Filing 10-K 02-28-03]

**Description:** Made2Manage Systems, Inc. develops, markets, licenses and supports comprehensive enterprise software for small and midsize manufacturers located primarily in the United States.

**Officers:** David B. Wortman (Chair, Pres. & CEO); Samuel J. Amore (VP); Traci M. Dolan (VP & CFO); Gary W. Rush (VP); Joseph S. Swern (VP); Katherine L. Kinder (Controller); Michael P. Cullinane (Dir.); Timothy A. Davenport (Dir.); Richard G. Halperin (Dir.); Rudolf J. Herrmann (Dir.)

**Auditor:** PricewaterhouseCoopers LLP

**Securities:** 4,940,960 common shares outstanding as of April 30, 2003.

[Return to top](#)

**Prospector  
Profile  
06.0067**

**MedicalCV, Inc.**

9725 South Robert Trail  
Inver Grove Heights, MN 55077  
(651) 452-3000

NAICS		339100
Employees		23
Revenue	(mil)	\$0.00
Income	(mil)	(\$4.94)
Assets	(mil)	\$11.59
Liability	(mil)	\$3.85
(for the year ended 4/30/2006)		

**Category:** Loss/Deficit

**Event:** MedicalCV, Inc., incurred a \$4,097,562 net loss on zero revenues for the three months ended July 31, 2006, compared to a \$5,070,184 net loss on \$338,333 of net revenues in 2005. As of July 31, 2006, the Company's accumulated deficit widened to \$51.4 million from \$47.3 million of deficit at July 31, 2005. [SEC Filing 10-KSB 07-20-06]

**Intellectual Property:** MedicalCV, Inc., intends to aggressively document and protect intellectual property by obtaining U.S. and foreign patents to protect technology important to the development of its business. The Company has filed 14 U.S. non-provisional patent applications, one provisional U.S. patent application, one international patent application and one European patent application, relating to products designed for use in treating AF. Obtaining patent protection for its products will be critical to the Company's commercial success. [SEC Filing 10-KSB 07-20-06]

**Description:** MedicalCV, Inc. offers healthcare technology for cardiac tissue ablation procedures in open-heart surgery.

**Officers:** Susan L. Critzer (Chair); Marc P. Flores (Pres., CEO & Dir.); Adam L. Berman (VP-R&D); Eapen Chacko (VP-Fin. & CFO); Robert W. Clapp (VP-Ops.); James E. Jeter (VP-Sales); John H. Jungbauer (CFO); Dennis E. Steger (VP-QA); Gary O. Tegan (VP-Mktg.); Larry G. Haimovitch (Dir.); Lawrence L. Horsch (Dir.); David B. Kaysen (Dir.); Paul K. Miller (Dir.); J. Robert Paulson, Jr. (Dir.)

**Auditor:** Lurie Besikof Lapidus & Company LLP

**Securities:** Common Stock-Symbol MVCI.OB; OTC BB; 9,122,938 common shares outstanding as of June 26, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0068**

**Pizza Inn, Incorporated**

3551 Plano Parkway  
The Colony, TX 75056  
(469) 384-5000

NAICS		722211
Employees		159
Revenue	(mil)	\$50.61
Income	(mil)	(\$5.99)
Assets	(mil)	\$19.00
Liability	(mil)	\$15.49
(for the year ended 6/25/2006)		

**Category:** Loss/Deficit

**Event:** On October 10, 2006, Pizza Inn, Incorporated reported a net loss of \$5,989,000 on revenues of \$50,608,000 for the year ended June 25, 2006, compared with a net income of \$204,000 on revenues of \$55,269,000 for the year ended June 26, 2005. As of June 25, 2006, the Company's balance sheet showed an accumulated deficit of \$19,644,000 and strained liquidity \$6,531,000 in total current assets available to pay \$15,052,000 in total current liabilities.

**Intellectual Property:** Pizza Inn, Incorporated owns various trademarks, including the name "Pizza Inn," that are used in connection with the restaurants and have been registered with the United States Patent and Trademark Office. The duration of the trademarks is unlimited, subject to periodic renewal and continued use. In addition, the Company has obtained trademark registrations in several foreign countries and have periodically re-filed and applied for registration in others. The Company holds the necessary rights for protection of the trademarks essential to its business. [SEC Filing 10-K 10-10-06]

**Description:** Pizza Inn, Incorporated operates and franchises pizza buffet, delivery/carry-out and express restaurants domestically and internationally under the trademark "Pizza Inn". Through its Norco Restaurant Services Company division, the Company is also a food, equipment and supply distributor to domestic and international system of restaurants.

**Officers:** Mark E. Schwarz (Chair); Ramon D. Phillips (Vice Chair); Tim P. Taft (Pres. & CEO); Bobby L. Clairday (Dir.); John D. Harkey, Jr. (Dir.); Robert B. Page (Dir.); Steven J. Pully (Dir.)

**Auditor:** PricewaterhouseCoopers LLP

**Securities:** Common Stock-Symbol PZZI; NasdaqCM;  
10,138,494 common shares outstanding as of September 20, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0069**

**Ply Gem Holdings, Inc.**

185 Platte Clay Way  
Kearney, MO 64060  
(800) 800-2244

NAICS		325211
Employees		4,600
Revenue	(mil)	\$838.87
Income	(mil)	\$20.23
Assets	(mil)	\$1,050.00
Liability	(mil)	\$834.48
(for the year ended 12/31/2005)		

**Category:** Low Rating

**Event:** On October 3, 2006, Moody's Investors Service downgraded Ply-Gem Holdings, Inc.'s existing debt to reflect the weakened outlook for the new home construction business, high leverage and credit statistics. The Company's \$360 million 9% senior subordinated notes due 2012 was downgraded to Caa1 from B3 and the loss-given-default rating was changed to LGD5 (86%) from LGD5 (80%). The ratings outlook is stable.

**Intellectual Property:** As a company that manufactures and markets branded products, Ply Gem Holdings, Inc. relies heavily on trademark and service mark protection to protect its brands. The Company has a significant number of issued patents and relies on copyright protection for certain technologies. [SEC Filing 10-K 03-27-06]

**Description:** Ply Gem Holdings, Inc. is a manufacturer of residential exterior building products in North America. It offers a vinyl siding and skirting, vinyl windows and doors and vinyl and composite fencing, railing and decking.

**Officers:** Frederick Iseman (Chair); Gary E. Robinette (Pres., CEO & Dir.); Shawn Poe (VP & CFO); Robert A. Ferris (Dir.); Steven M. Lefkowitz (Dir.); John D. Roach (Dir.); Michael Haley (Dir.); Edward M. Straw (Dir.)

**Auditor:** KPMG LLP

**Securities:** 9% senior subordinated notes due 2012.

**Notes:** There is no established trading market for the Company's common stock.

[Return to top](#)

**Prospector  
Profile  
06.0070**

**Protection One Alarm Monitoring, Inc.**

1035 N. 3rd St., Suite 101,  
Lawrence, KS 66044  
(785) 856-5500

NAICS		561621
Employees		2,400
Revenue	(mil)	\$263.02
Income	(mil)	\$29.14
Assets	(mil)	\$434.16
Liability	(mil)	\$428.24
(for the year ended 12/31/2005)		

**Category:** Loss/Deficit

**Event:** On August 14, 2006, Protection One Alarm Monitoring, Inc. reported a net loss of \$6,663,000 on revenues of \$67,150,000 for the three months ended June 30, 2006, compared with a net loss of \$7,891,000 on revenues of \$65,441,000 for the same quarter a year ago. As of June 30, 2006, the Company's balance sheet showed an accumulated deficit of \$161,117,000 and stockholders' deficit of \$71,411,000. The Company's balance sheet as of June 30, 2006 also showed strained liquidity with \$59,476,000 in total current assets available to pay \$66,346,000 in total current liabilities.

**Intellectual Property:** Protection One Alarm Monitoring, Inc. owns trademarks related to the name and logo for Protection One and Network Multifamily Security as well as a variety of trade and service marks related to individual services it provides. [SEC Filing 10-K 03-20-06]

**Description:** Protection One Alarm Monitoring, Inc. is a national provider of security alarm Monitoring services, providing installation, maintenance and electronic monitoring of alarm systems to single-family residential, commercial, multifamily and wholesale customers.

**Officers:** Richard Ginsburg (Pres., CEO & Dir.); Darius G. Nevin (EVP & CFO); Eric A. Devin (VP, Controller & Asst. Sec.); Robert J. McGuire (Dir.); Steven Rattner (Dir.); David A. Tanner (Dir.); Michael Weinstock (Dir.); Henry Ormond (Dir.)

**Auditor:** Deloitte & Touche LLP

**Securities:** 110 common shares outstanding as of August 10, 2006.

[Return to top](#)



**Prospector  
Profile  
06.0071**

**Serena Software, Inc.**  
2755 Campus Dr., 3rd Fl.  
San Mateo, CA 94403  
(650) 522-6600

NAICS		511210
Employees		744
Revenue	(mil)	\$208.11
Income	(mil)	\$9.49
Assets	(mil)	\$695.12
Liability	(mil)	\$397.50
(for the year ended 1/31/2005)		

**Category:** Low Rating

**Event:** Moody's Investors Service held its Caa1 probability-of-default ratings and assigned loss given-default rating of LGD5 on Serena Software, Inc.'s \$200 million senior subordinated note due 2016. The LGD5 ratings on the note suggests that note holders will experience a net loss of 87 percent in the event of default.

**Intellectual Property:** Serena Software, Inc. relies primarily on a combination of patent, copyright and trademark laws, trade secrets, confidentiality procedures and contractual provisions to protect proprietary rights. Such laws, procedures and contracts provide only limited protection. The Company submitted four patent applications for technology in calendar 1998, four more in calendar 1999, one in calendar 2001, one in calendar 2002 and one in calendar 2004. Of these patents, two were issued in calendar 2001, two were issued in calendar 2002, one was issued in January 2003 and one was issued in February 2004. As part of the acquisition of Merant PLC, the Company acquired three patents from Merant in calendar 2004. Other applications are still pending and may never be issued. [SEC Filing 10-K 04-08-05]

**Description:** Serena Software, Inc. engages in the development, marketing and support of Software products for managing process and controlling change across the information Technology environment.

**Officers:** Douglas D. Troxel (Chair & Chief Tech. Officer); Mark E. Woodward (Pres., CEO & Dir.); Ed Malysz (SVP & Gen. Counsel); Ian Archbell (VP); Peter Sianchuk (VP); John R. Joyce (Dir.); Hollie J. Moore (Dir.); David J. Roux (Dir.); Elizabeth Hackenson (Dir.)

**Auditor:** KPMG LLP

**Securities:** Common Stock-Symbol SRNA; NasdaqNM;  
99,113,930 common shares outstanding as of August 31, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0072**

**Spectrum Brands, Inc.**

6 Concourse Parkway, Suite 3300  
Atlanta, GA 30328  
(770) 829-6200

NAICS		335900
Employees		9,800
Revenue	(mil)	\$2,359.45
Income	(mil)	\$46.83
Assets	(mil)	\$4,022.09
Liability	(mil)	\$3,179.36
(for the year ended 9/30/2005)		

**Category:** Low Rating

**Event:** On September 26, 2006, Moody's Investors Service held its probability-of-default ratings of Caa2 on Spectrum Brands, Inc.'s \$700 million senior subordinated notes and \$350 million senior subordinated notes. These notes were assigned a loss-given-default rating of LGD5, suggesting that note holders will experience a net loss of 82 percent in the event of default.

**Intellectual Property:** Spectrum Brands, Inc. owns or licenses from third parties a considerable number of patents and patent applications throughout the world for battery and electric personal care product improvements, additional features and manufacturing equipment. The Company uses and maintain a number of trademarks in its business, including CUTTER, DINGO, GARDEN SAFE, HOT SHOT, JUNGLETALK, MARINELAND, NATURE'S MIRACLE, RAYOVAC, REMINGTON, REPEL, SCHULTZ, SPECTRACIDE, SPECTRACIDE TERMINATE, STA-GREEN, TETRA, VARTA, VIGORO and 8-IN-1. The Company also seeks trademark protection in the U.S. and in many foreign countries by available means, including registration. As a result of the October 2002 sale by VARTA AG of substantially all of its consumer battery business, the Company acquired rights to the VARTA trademark in the consumer battery category. As a result of the acquisition of Remington business, the Company owns the Remington trademark for electric shavers, shaver accessories, grooming products and personal care products. [SEC Filing 10-K 12-14-05]

**Description:** Spectrum Brands, Inc., together with its subsidiaries, manufactures and markets consumer batteries, lawn and garden care products, pet supplies and other household and consumer products worldwide.

**Officers:** Thomas R. Shepherd (Chair); David A. Jones (CEO); Kent J. Hussey (Pres., COO & Dir.); Randall J. Steward (EVP & CFO); Phillip F. Pellegrino (EVP); Paul G. Cheeseman (SVP)

**Auditor:** KPMG LLP

**Securities:** Common Stock-Symbol SPC; NYSE;

51,161,200 common shares outstanding as of August 4, 2006.

8 1/2% senior subordinated notes due 2013; 7 3/8% senior subordinated notes due 2015.

[Return to top](#)

**Prospector  
Profile  
06.0073**

**SS&C Technologies, Inc.**

80 Lambertson Rd.  
Windsor, CT 06095  
(860) 298-4500

NAICS		334611
Employees		861
Revenue	(mil)	\$161.63
Income	(mil)	\$1.54
Assets	(mil)	\$1,176.37
Liability	(mil)	\$619.24

(for the year ended 12/31/2005)

**Category:** Low Rating

**Event:** Moody's Investors Service held its probability-of-default rating of Caa1 and assigned loss-given-default of LGD5 rating to SS&C Technologies, Inc.'s \$205 million senior subordinated note due 2016. The LGD5 rating suggests that note holders will experience a net loss of 83 percent in the event of default.

**Intellectual Property:** SS&C Technologies, Inc. relies on a combination of trade secret, copyright, trademark and patent law, nondisclosure agreements and technical measures to protect its proprietary technology. The Company has registered trademarks for many of its products and will continue to evaluate the registration of additional trademarks as appropriate. The Company seeks to protect software, documentation and other written materials under trade secret and copyright laws, which afford limited protection. [SEC Filing S-4 06-19-06]

**Description:** SS&C Technologies, Inc. provides software, business process outsourcing services and application service provider solutions to the financial services industry primarily in the United States.

**Officers:** William C. Stone (Chair & CEO); Normand A. Boulanger (Pres., COO & Dir.); Patrick J. Pedonti (SVP & CFO); Stephen V. R. Whitman (SVP & Gen. Counsel); Kevin Milne (SVP); William A. Etherington (Dir.); Allan M. Holt (Dir.); Todd R. Newnam (Dir.); Claudius E. Watts, IV (Dir.)

**Auditor:** PricewaterhouseCoopers LLP

[Return to top](#)

**Prospector  
Profile  
06.0074**

**UGS Corporation**

5800 Granite Parkway, Suite 600  
Plano, TX 75024  
(972) 987-3000

NAICS		541512
Employees		7,200
Revenue	(mil)	\$1,154.62
Income	(mil)	(\$22.07)
Assets	(mil)	\$2,631.45
Liability	(mil)	\$1,709.13
(for the year ended 12/31/2005)		

**Category:** Low Rating

**Event:** Moody's Investors Service held its probability-of-default rating of Caa1 and assigned loss-given-default ratings of LGD6 to UGS Corporation's \$330 million senior unsecured bonds due 2011. The LGD6 rating suggests that note holders will experience a net loss of 92 percent in the event of default.

**Intellectual Property:** UGS Corporation relies on a combination of contracts, copyrights, patents, trademarks and other common law rights such as trade secret and unfair competition laws to establish and protect the proprietary rights to its technology. Generally, the Company distributes its products under software licenses that grant customers licenses to, rather than ownership of, applications and that contain various provisions protecting ownership and confidentiality of licensed technology. The Company owns intellectual property that constitutes the software applications, with the exception of NX Nastran, for which it owns a worldwide full-use license, or licenses third party software that are embed into and license in conjunction with the software products. UGS®, the UGS Logo, Unigraphics Solutions®, Unigraphics®, Solid Edge®, Teamcenter®, Parasolid®, ProductVision®, VisView®, VisMockUp®, I-deas®, Femap® and eBreviate®, among others, are trademarks that the Company has registered and own in the U.S. The Company also has trademark applications pending in the U.S. and many foreign countries for other marks. [SEC Filing 10-K 03-09-06]

**Description:** UGS Corporation is a global provider of product lifecycle management (PLM) software and services that businesses use to design, evaluate, manufacture and launch new products and to manage their product-related data throughout a product's entire lifecycle.

**Officers:** Anthony J. Affuso (Chair, Pres. & CEO); Douglas E. Barnett (SVP & CFO); John K. Graham (EVP); Charles C. Grindstaff (EVP); David J. Shirk (EVP); Thomas M. Lemberg (SVP, Gen. Counsel & Sec.)

**Auditor:** KPMG LLP

**Securities:** 100 common shares outstanding as of July 31, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0075**

**US LEC Corporation**

6801 Morrison Blvd., Morrocroft III  
Charlotte, NC 28211  
(704) 319-1000

NAICS		517000
Employees		1,128
Revenue	(mil)	\$387.74
Income	(mil)	(\$38.65)
Assets	(mil)	\$252.35
Liability	(mil)	\$512.37
(for the year ended 12/31/2005)		

**Category:** Loss/Deficit

**Event:** On August 21, 2006, US LEC Corporation reported a \$3.1 million net loss on \$106.6 million of net revenues for the three months ended June 30, 2006, compared with a \$4.7 million net loss on \$95.3 million of net revenues in 2005. As of June 30, 2006, the Company's balance sheet showed \$240.6 million in total assets and \$513.5 million in total liabilities, resulting in a \$272.9 million stockholders' deficit.

**Intellectual Property:** US LEC Corporation primarily uses two trademarks and service marks: US LEC, and a logo that includes US LEC and VOICE/DATA/INTERNET. The US LEC mark has been registered on the Supplemental Register of the United States Patent and Trademark Office since 1997 for use with telecommunications services and is now registered on the Principal Register with those services pursuant to a claim of acquired distinctiveness. The US LEC word mark has also been preliminarily approved for registration on the Principal Register for use with related services in multiple classes and the logo mark has similarly been preliminarily approved for registration on the Principal Register for use with all services. In addition, the Company has continued to use the marks acquired upon the acquisition of the assets of StarNet and the acquisition of the assets of Fastnet, as the Company has integrated their respective services into the Company's existing suite of telecommunications services. [SEC Filing 10-K 03-07-06]

**Description:** US LEC Corporation is a telecommunications carrier providing integrated voice, data and Internet services to medium and large businesses and enterprise organizations throughout 15 Eastern states and the District of Columbia.

**Officers:** Richard T. Aab (Chair); Aaron D. Cowell, Jr. (Pres., CEO & Dir.); J. Lyle Patrick (EVP & CFO); Tansukh V. Ganatra (Dir.); David M. Flaum (Dir.); Steven L. Schoonover (Dir.); Anthony J. DiNovi (Dir.); Michael A. Krupka (Dir.); Michael C. Mac Donald (Dir.)

**Auditor:** Deloitte & Touche LLP

**Securities:** Common Stock-Symbol CLEC; NasdaqGM;  
31,107,927 common shares outstanding as of August 15, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0076**

**Veridicom International, Inc.**

Suite 500, 21 Water Street  
Vancouver, Canada 98104  
(604) 696-0633

NAICS		332999
Employees		24
Revenue	(mil)	\$0.39
Income	(mil)	(\$8.34)
Assets	(mil)	\$4.34
Liability	(mil)	\$3.13
(for the year ended 12/31/2005)		

**Category:** Loss/Deficit

**Event:** For the three months ended June 30, 2006, Veridicom International, Inc. reported a comprehensive net loss of \$2,087,758 on \$32,632 of revenues compared with a comprehensive net loss of \$1,839,731 on \$128,231 of revenues for the same period in 2005. At June 30, 2006, the Company's balance sheet showed \$4,338,961 in total assets, \$8,685,452 in total liabilities, and \$45,253 in minority interest, resulting in a \$4,391,744 stockholders' deficit. The Company's June 30 balance sheet also showed strained liquidity with \$886,871 in total current assets available to pay \$8,676,786 in total current liabilities coming due within the next 12 months.

**Intellectual Property:** Veridicom International, Inc. has 9 international patents for its technology, as well as 2 pending patent applications. [SEC Filing 10-KSB 05-02-06]

**Description:** Veridicom International, Inc. provides identity management solution services which merges hardware, enterprise middleware and application software into a seamless, bundled authentication suite.

**Officers:** Paul Mann (Chair & CEO); Dan Stryker (Pres.); Hamid Baradaran (VP-Mfg.); Bashir Jaffer (CFO); Bill Cheung (Dir.); Jeremy Coles (Dir.); Shannon McCallum (Dir.)

**Auditor:** Manning Elliott LLP

**Securities:** Common Stock-Symbol VRDI.PK; PNK;  
47,650,302 common shares outstanding as of April 28, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0077**

**Wherify Wireless, Inc.**

2000 Bridge Parkway, Suite 201  
Redwood Shores, CA 94065  
(650) 551-5200

NAICS		334220
Employees		31
Revenue	(mil)	\$0.15
Income	(mil)	(\$81.34)
Assets	(mil)	\$3.72
Liability	(mil)	\$15.12
(for the year ended 6/30/2006)		

**Category:** Audit Concerns

**Event:** Malone & Bailey, PC, expressed substantial doubt about Wireless, Inc.'s ability to continue as a going concern after it audited the Company's financial statements for the fiscal year ended June 30, 2006. The auditing firm pointed to the Company's recurring losses from operations and insufficient working capital to meet its operating needs. [SEC Filing 10-KSB 09-13-06]

**Intellectual Property:** Wherify Wireless, Inc. relies on a combination of copyright, trademark, trade secret and other intellectual property laws, nondisclosure agreements and other protective measures to protect proprietary rights in wireless location products business. Its first patent in this business segment was approved by the United States Patent Office on December 7, 1998, and was issued on May 18, 1999. Additional patents have been issued on March 26, 2002 and May 14, 2002. Other patents, filed in June 2003 and March 2005, are pending as of June 30, 2006. The Company relies on third-party licensors and other vendors for patented hardware and/or software license rights in technology that are incorporated into and are necessary for the operation and functionality of its products. [SEC Filing 10-KSB 09-13-06]

**Description:** Wherify Wireless, Inc. develops patented wireless location products and services for family safety and communications, and law enforcement and security technology solutions.

**Officers:** William B. G. Scigliano (Chair); W. Douglas Hajjar (Co-Chair); Jerry Parrick (Pres.); Timothy J. Neher (CEO); Mark Gitter (CFO); Robert Jacobsen (CIO); Anthony L. LaRochelle (CTO); James Harris (VP-Sales); Matthew J. Neher (VP-Bus. Devt.); John Micek III (Dir.); Daniel McKelvey (Dir.); Wade Fenn (Dir.)

**Auditor:** Malone & Bailey, PC

**Securities:** Common Stock-Symbol WFYW.OB; OTC BB;  
59,514,013 common shares outstanding as of September 6, 2006.

[Return to top](#)

**Prospector  
Profile  
06.0078**

**Zila, Incorporated**

5227 North 7th St.  
Phoenix, AZ 85014  
(602) 266-6700

NAICS		325412
Employees		157
Revenue	(mil)	\$28.19
Income	(mil)	(\$29.35)
Assets	(mil)	\$56.36
Liability	(mil)	\$33.11
(for the year ended 7/31/2006)		

**Category:** Loss/Deficit

**Event:** On October 10, 2006, Zila, Incorporated reported a net loss of \$29,346,331 on revenues of \$28,188,419 for the year ended July 31, 2006, compared with a net income of \$1,099,464 on revenues of \$43,488,867 for the year ended July 31, 2005. The Company's balance sheet as of July 31, 2006 showed an accumulated deficit of \$61,929,007 and strained liquidity of \$22,970,160 in total current assets available to pay \$29,824,216 in total current liabilities.

**Intellectual Property:** In February 2006, Zila Inc. acquired from Shared Medical Resources, LLC all of the rights, titles and interests in the ViziLite® technology including patent number 6,496,718 for "Body Cavity Light using Diffuse Light Source." The Company previously had a license agreement for the exclusive and perpetual rights to the ViziLite® technology covered by United States patent numbers 8,179,938 and 5,329,938. Concurrent with the purchase of the Peridex® brand from Procter & Gamble in November 1997, the Company purchased the trademark rights to Peridex®. After purchasing CTM Associates, Inc. in June 1996, the Company acquired certain technology rights and United States and foreign patent rights related to the OraTest® product. The Company now has 11 issued United States patents related to ZTCtm and/or the OraTest® product with expiration dates ranging from 2011 to 2020. An additional 102 corresponding foreign patents have been issued, and there are pending United States and international applications that could result in coverage of ZTCtm and/or OraTest related technology by 250 United States and foreign patents. [SEC Filing 10-K 10-10-06]

**Description:** Zila, Incorporated offers preventive healthcare technologies and products, focusing on enhanced body defense and the detection of pre-disease states.

**Officers:** Douglas D. Burkett (Chair, Pres. & CEO); David R. Bethune (Dir.); Leslie H. Green (Dir.); Christopher D. Johnson (Dir.); Kurt R. Krauss (Dir.); Michael S. Lesser (Dir.); S. Timothy Rose (Dir.)

**Auditor:** BDO Seidman LLP

**Securities:** Common Stock-Symbol ZILA; NasdaqGM;  
46,022,593 common shares outstanding as of September 30, 2006.

[Return to top](#)